

PREGLED PROPISA EU
- relevantnih za tržište kapitala -

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TRŽIŠTA HARTIJA OD VRIJEDNOSTI I INVESTICIONE USLUGE (SECURITIES MARKETS AND INVESTMENT SERVICES)

I Tržišta finansijskih instrumenata (Markets in Financial Instruments, Investment Services)

- 1. Direktiva broj 2004/39/EEC Evropskog parlamenta i Savjeta EU od 21. aprila 2004. o tržištima finansijskih instrumenata (MiFID) kojom se mijenjaju direktive broj 85/611/EEC, 93/6 EEC i 2000/12/EC i ukida direktiva broj 93/22/EEC**

Directive 2004/39/EC of the European Parliament and of the Council of 21 April 2004 on markets in financial instruments (MiFID) amending Council Directives 85/611/EEC and 93/6/EEC and Directive 2000/12/EC of the European Parliament and of the Council and repealing Council Directive 93/22/EEC (Official Journal L 145, 30/04/2004 P. 0001 – 0044)

- 2. Directive 2006/73/EC**

- 3. Regulation (EC) No 1287/2006**

- 4. Prijedlog Direktive Evropskog parlamenta i Savjeta EU kojom se, odredjenim rokovima, dopunjuje Direktiva broj 2004/39/EC o tržištima finansijskih instrumenata**

Proposal for a Directive of the European Parliament and of the Council of amending Directive 2004/39/EC on markets in financial instruments, as regards certain deadlines, COM(2005)253 final of 14.6.2005 (not published in the Official Journal)

- 5. Council Directive 93/22/EEC**

- 6. Informacije Komisije – Lista regulisanih tržišta prijavljenih Stalnom odboru država članica EFTA prema članu 16 Direktive o investicionim uslugama (93/22/EEC)**

Information from the Commission - List of the regulated markets notified to the Standing Committee of the EFTA States under Article 16 of the Investment Services Directive (93/22/EEC) included in point 30b of Annex IX to the Agreement of the European Economic Area (Text with EEA relevance) - Official Journal C 151, 29/05/1999 p. 0020 – 0020

- 7. Informacije Komisije – Lista regulisanih tržišta prijavljenih Komisiji od strane zemalja članica prema članu 16 Direktive o investicionim uslugama (93/22/EEC)**

Information from the Commission - List of the regulated markets notified to the Commission by the Member States under Article 16 of the Investment Services Directive (93/22/EEC) (Text with EEA relevance) - (Official Journal C 151 , 29/05/1999 P. 0019 – 0020)

8. Prezentacija sa pojašnjenjima o regulisanim tržištima kapitala i nacionalnim propisima, koji primjenjuju odgovarajuće zahtjeve u skladu sa Direktivom o investicionim uslugama (93/22/EEC)

Annotated presentation of regulated markets and national provisions implementing relevant requirements of the investment services directive (ISD) (Council Directive 93/22/EEC) (OJ C 072, 23.03.2004, p. 3)

9. Direktiva broj 95/26/EC Evropskog parlamenta i Savjeta EU od 29. juna 1995. kojom se dopunjuju Direktive broj 77/780/EEC i 89/646/EEC u dijelu koji se odnosi na kreditne institucije, Direktive broj 73/239/EEC i 92/49/EEC u dijelu koji se odnosi na ne-životno osiguranje, Direktive broj 79/267/EEC and 92/96/EEC u dijelu koji se odnosi na životno osiguranje, Direktiva broj 93/22/EEC u u dijelu koji se odnosi na investicione kompanije i Direktiva broj 85/611/EEC u dijelu koji se odnosi preduzeća za zajednička (kolektivna) ulaganja u prenosive hartije od vrijednosti (Ucits)

European Parliament and Council Directive 95/26/EC of 29 June 1995 amending Directives 77/780/EEC and 89/646/EEC in the field of credit institutions, Directives 73/239/EEC and 92/49/EEC in the field of non- life insurance, Directives 79/267/EEC and 92/96/EEC in the field of life assurance, Directive 93/22/EEC in the field of investment firms and Directive 85/611/EEC in the field of undertakings for collective investment in transferable securities (Ucits), with a view to reinforcing prudential supervision (Official Journal L 168, 18/07/1995 P. 0007 – 0013)

10. Direktiva broj 2000/64/EC Evropskog parlamenta i Savjeta EU od 7. novembra 2000. kojom se dopunjuju Direktive Savjeta broj 85/611/EEC, 92/49/EEC, 92/96/EEC and 93/22/EEC, u vezi sa razmjenom informacija sa trećim zemljama

Directive 2000/64/EC of the European Parliament and of the Council of 7 November 2000 amending Council Directives 85/611/EEC, 92/49/EEC, 92/96/EEC and 93/22/EEC as regards exchange of information with third countries (Official Journal L 290, 17/11/2000 P. 0027 – 0028)

II Zaštita investitora (Investor Compensation Schemes)

1. Direktiva broj 97/9/EC Evropskog parlamenta i Savjeta EU od 3. marta 1997. o naknadama za investitore (u smislu zaštite investitora)

Directive 97/9/EC of the European Parliament and of the Council of 3 March 1997 on investor-compensation schemes

III Investiranje u prenosive hartije od vrijednosti (Undertakings for collective investment in transferable securities)

1. Direktiva Savjeta EU br. 85/611/EEC od 20.decembra 1985. o usklađivanju zakona, regulative i administrativnih uslova koja se odnose na preduzeća za zajednička (kolektivna) investiranja u prenosive hartije od vrijednosti - UCITS

Council Directive 85/611/EEC of 20 December 1985 on the coordination of laws, regulations and administrative provisions relating to undertakings for

collective investment in transferable securities (UCITS) – (Official Journal L 375, 31/12/1985 P. 0003 – 0018) amending by Directives 88/220/EEC, 95/26/EC, 2000/64/EC, 2001/107/EC, 2001/108/EC, 2004/39/EC, 2005/1/EC

2. Preporuka Savjeta broj 85/612/EEC od 20. decembra 1985 u vezi sa drugim alinejom člana 25 (1) Direktive broj 85/611/EEC

85/612/EEC: Council Recommendation of 20 December 1985 concerning the second subparagraph of Article 25 (1) of Directive 85/611/EEC (Official Journal L 375, 31/12/1985 P. 0019 – 0019)

3. Direktiva broj 95/26/EC Evropskog parlamenta i Savjeta EU od 29. juna 1995. kojom se dopunjuju Direktive broj 77/780/EEC i 89/646/EEC u dijelu koji se odnosi na kreditne institucije, Direktive broj 73/239/EEC i 92/49/EEC u dijelu koji se odnosi na ne-životno osiguranje, Direktive broj 79/267/EEC and 92/96/EEC u dijelu koji se odnosi na životno osiguranje, Direktiva broj 93/22/EEC u u dijelu koji se odnosi na investicione kompanije i Direktiva broj 85/611/EEC u dijelu koji se odnosi na zajednička (kolektivna) ulaganja u prenosive hartije od vrijednosti (Ucits)

European Parliament and Council Directive 95/26/EC of 29 June 1995 amending Directives 77/780/EEC and 89/646/EEC in the field of credit institutions, Directives 73/239/EEC and 92/49/EEC in the field of non- life insurance, Directives 79/267/EEC and 92/96/EEC in the field of life assurance, Directive 93/22/EEC in the field of investment firms and Directive 85/611/EEC in the field of undertakings

4. Direktiva broj 2000/64/EC Evropskog parlamenta i Savjeta EU od 7. novembra 2000. kojom se dopunjuju Direktive Savjeta broj 85/611/EEC, 92/49/EEC, 92/96/EEC and 93/22/EEC, u vezi sa razmjenom informacija sa trećim zemljama

Directive 2000/64/EC of the European Parliament and of the Council of 7 November 2000 amending Council Directives 85/611/EEC, 92/49/EEC, 92/96/EEC and 93/22/EEC as regards exchange of information with third countries (Official Journal L 290, 17/11/2000 P. 0027 – 0028)

5. Direktiva broj 2001/107/EC Evropskog parlamenta i Savjeta EU od 21. januara 2002 kojom se mijenja Direktiva br. 85/611/EEC o usklađivanju zakona, regulative i administrativnih uslova koja se odnose na preduzeća za zajednička (kolektivna) investiranja u prenosive hartije od vrijednosti-UCITS, u pogledu regulisanja: društava za upravljanje i pojednostavljenog prospekta

Directive 2001/107/EC of the European Parliament and of the Council of 21 January 2002 amending Council Directive 85/611/EEC on the coordination of laws, regulations and administrative provisions relating to undertakings for collective investment in transferable securities (UCITS) with a view to regulating management companies and simplified prospectuses (Official Journal L 041 , 13/02/2002 P. 0020 – 0034)

6. Direktiva broj 2001/108/EEC Evropskog parlamenta i Savjeta EU od 21. januara 2002 kojom se mijenja Direktiva br. 85/611/EEC o usklađivanju

zakona, regulative i administrativnih uslova koja se odnose na preduzeća za zajednička (kolektivna) investiranja u prenosive hartije od vrijednosti-UCITS, u vezi sa investiranjima UCITS-a.

Directive 2001/108/EC of the European Parliament and of the Council of 21 January 2002 amending Council Directive 85/611/EEC on the coordination of laws, regulations and administrative provisions relating to undertakings for collective investment in transferable securities (UCITS), with regard to investments of UCITS (Official Journal L 041 , 13/02/2002 P. 0035 – 0042)

- 7. Direktiva broj 2004/39/EEC Evropskog parlamenta i Savjeta EU od 21. aprila 2004. o tržištima finansijskih instrumenata kojom se dopunjuju Direktive broj 85/611/EEC, 93/6 EEC i 2000/12/EC i ukida direktiva 93/22/EEC**

Directive 2004/39/EC of the European Parliament and of the Council of 21 April 2004 on markets in financial instruments amending Council Directives 85/611/EEC and 93/6/EEC and Directive 2000/12/EC of the European Parliament and of the Council and repealing Council Directive 93/22/EEC (Official Journal L 145, 30/04/2004 P. 0001 – 0044)

- 8. Preporuka Komisije broj 2004/384/EC od 27. aprila 2004. o upotrebi finansijskih derivata za preduzeća za zajednička (kolektivna) ulaganja u prenosive hartije od vrijednosti (UCITS)**

2004/384/EC:Commission Recommendation of 27 April 2004 on the use of financial derivative instruments for undertakings for collective investment in transferable securities (UCITS) - (notified under document number C(2004) 1541/1) - (OJ L 144 30.04.2004 p. 0034-0043)

- 9. Preporuka Komisije broj 2004/383/EC od 27. aprila 2004. o upotrebi finansijskih derivata za preduzeća za zajednička (kolektivna) ulaganja u prenosive hartije od vrijednosti (UCITS)**

2004/383/EC:Commission Recommendation of 27 April 2004 on the use of financial derivative instruments for undertakings for collective investment in transferable securities (UCITS) - (Text with EEA relevance) - notified under document number C(2004) 1541/1 (Official Journal L 144, 30/04/2004 P. 0034 – 0043)

- 10. Ispravka Preporuke Komisije broj 2004/383/EC od 27. aprila 2004. o upotrebi finansijskih derivata za preduzeća za zajednička (kolektivna) ulaganja u prenosive hartije od vrijednosti (UCITS)**

Corrigendum to Commission Recommendation 2004/383/EC of 27 April 2004 on the use of financial derivative instruments for undertakings for collective investment in transferable securities (UCITS) (OJ L 144, 30.4.2004) (OJ L 199 07.06.2004 p. 0024-0029)

- 11. Preporuka Komisije broj 2004/384/EC od 27. aprila 2004. o dijelu sadržaja pojednostavljenog prospekta predviđene u Schedule C Aneksa I Direktive broj 85/611/EEC**

2004/384/EC:Commission Recommendation of 27 April 2004 on some contents of the simplified prospectus as provided for in Schedule C of Annex I to Council Directive 85/611/EEC (Text with EEA relevance) - notified under document number C(2002) 1541/2 (Official Journal L 144, 30/04/2004 P. 0044 – 058)

12. Ispravka Preporuke Komisije broj 2004/384/EC od 27. aprila 2004. o dijelu sadržaja pojednostavljenog prospekta predviđene u Schedule C Aneksa I Direktive broj 85/611/EEC

Corrigendum to Commission Recommendation 2004/384/EC of 27 April 2004 on some contents of the simplified prospectus as provided for in Schedule C of Annex I to Council Directive 85/611/EEC (Official Journal of the European Union L 144 of 30 April 2004) - (OJ L 199 07.06.2004 p. 0030-0037)

13. Direktiva broj 88/220/EEC Savjeta EU od 22. marta 1988 kojom se dopunjuje, u dijelu koji se odnosi na investicione politike određenih preduzeća za zajednička (kolektivna) investiranja u prenosive hartije od vrijednosti (UCITS), Direktiva broj 85/611/EEC o usklađivanju zakona, regulative i administrativnih uslova koja se odnose na preduzeća za zajednička (kolektivna) investiranja u prenosive hartije od vrijednosti - UCITS

Council Directive 88/220/EEC of 22 March 1988 amending, as regards the investment policies of certain UCITS, Directive 85/611/EEC on the coordination of laws, regulations and administrative provisions relating to undertakings for collective investments in transferable securities (UCITS) (Official Journal L 100, 19/04/1988 P. 0031 – 0032)

IV Emisija hartija od vrijednosti (Prospectuses)

1. Direktiva broj 2003/71/EC Evropskog parlamenta i Savjeta EU od 4. novembra 2003. godine o prospektu koji se obavljuje prilikom javne ponude hartija od vrijednosti ili hartija koje su primljene u trgovanje i o dopunama Direktive 2001/34/EEC

Directive 2003/71/EC of the European Parliament and of the Council of 4 November 2003 on the prospectus to be published when securities are offered to the public or admitted to trading and amending Directive 2001/34/EC (Text with EEA relevance) - (Official Journal L 345, 31/12/2003 P. 0064 – 0089); adequacy of investments firms and credit institutions

2. Pravilnik Komisije broj 809/2004 od 29. aprila 2004. o primjeni Direktive broj 3/71/EC Evropskog parlamenta i Savjeta EU u vezi informacija sadržanih u prospektu i objavljivanje takvih prospekata kao i dostavljanje reklamnog materijala

Commission Regulation (EC) No 809/2004 of 29 April 2004 implementing Directive 3/71/EC of the European Parliament and of the Council as regards information contained in prospectuses as well as the format, incorporation by reference and publication of such prospectuses and dissemination of advertisements (Text with EEA relevance) – (Official Journal L 149, 30/04/2004 P. 0001 – 0137)

3. Direktiva Savjeta EU broj 89/298/EEC od 17.aprila 1989 kojom se uređuju zahtjevi za pripremu, pregled i distribuciju prospekta koji se objavljuje za javnu ponudu prenosivih hartija od vrijednosti

Council Directive 89/298/EEC of 17 April 1989 coordinating the requirements for the drawing-up, scrutiny and distribution of the prospectus to be published when transferable securities are offered to the public (Official Journal L 124, 05/05/1989 P. 0008 – 0015)

V Zloupotreba tržišta (Market abuse)

1. Direktiva broj 2003/6/EC Evropskog parlamenta i Savjeta EU od 28. januara 2003 o trgovanju na osnovu povlaštenih informacija i tržišnim manipulacijama (zloupotrebe)

Directive 2003/6/EC of the European Parliament and of the Council of 28 January 2003 on insider dealing and market manipulation (market abuse) – (Official Journal L 096 , 12/04/2003 P. 0016 – 0025)

2. Direktiva Komisije broj 2004/72/EC od 29. aprila o primjeni Direktive broj 2003/6/EC Evropskog parlamenta i Savjeta EU koja se tiče prihvaćenih tržišnih praksi, definicije povlaštene informacije u vezi sa derivatima tržišnih instrumenata, pripremi liste lica koja trguju povlaštenim informacijama, objava transakcija menadžera i objava sumnjivih transakcija

Commission Directive 2004/72/EC of 29 April 2004 implementing Directive 2003/6/EC of the European Parliament and of the Council as regards accepted market practices, the definition of inside information in relation to derivatives on commodities, the drawing up of lists of insiders, the notification of managers' transactions and the notification of suspicious transactions (Text with EEA relevance) (Official Journal L 162, 30/04/2004 P. 0070 – 0075)

3. Direktiva Komisije broj 2003/124/EC od 22. decembra 2003. o primjeni Direktive broj 2003/6/EC Evropskog parlamenta i Savjeta EU koja se tiče definicije i javno objavljivanje informacija o povlaštenim informacijama i definicije tržišne manipulacije

Commission Directive 2003/124/EC of 22 December 2003 implementing Directive 2003/6/EC of the European Parliament and of the Council as regards the definition and public disclosure of inside information and the definition of market manipulation (Text with EEA relevance) - (Official Journal L 339, 24/12/2003 P. 0070 – 0072)

4. Direktiva Komisije broj 2003/125/EC od 22. decembra 2003. o primjeni Direktive broj 2003/6/EC Evropskog parlamenta i Savjeta EU koja se tiče fer prezentacije investicionih preporuka i objavljivanja konflikta interesa

Commission Directive 2003/125/EC of 22 December 2003 implementing Directive 2003/6/EC of the European Parliament and of the Council as regards the fair presentation of investment recommendations and the disclosure of conflicts of interest (Text with EEA relevance) – (Official Journal L 339, 24/12/2003 P. 0073 – 0077)

5. Pravilnik Komisije broj 2273/2003 od 22. decembra 2003 o primjeni Direktive broj 2003/6/EC Evropskog parlamenta i Savjeta EU u vezi izuzetaka u buy-back programima i stabilizaciji finansijskih instrumenata

Commission Regulation (EC) No 2273/2003 of 22 December 2003 implementing Directive 2003/6/EC of the European Parliament and of the Council as regards exemptions for buy-back programmes and stabilisation of financial instruments (Text with EEA relevance) - (Official Journal L 336, 23/12/2003 P. 0033 – 0038)

6. Direktiva broj 89/592 Savjeta EU od 13. novembra 1989 kojom se uređuje trgovanje na osnovu povlaštenih informacija

Council Directive 89/592/EEC of 13 November 1989 coordinating regulations on insider dealing (Official Journal L 334, 18/11/1989 P. 0030 – 0032)

7. Direktiva broj 01/97/EC Evropskog parlamenta i Savjeta EU od 4. decembra 2001 kojom se dopunjuje Direktiva broj 91/308/EEC Savjeta EU o sprečavanju upotrebe finansijskog sistema u svrhu pranja novca

Directive 01/97/EC of the European Parliament and of the Council of 4 December 2001 amending Council Directive 91/308/EEC on prevention of the use of the financial system for the purpose of money laundering

8. Direktiva broj 2005/60/EC Evropskog parlamenta i Savjeta EU od 26. oktobra 2005 o sprečavanju upotrebe finansijskog sistema u svrhu pranja novca i finansiranja terorizma

Directive 2005/60/EC of the European Parliament and of the Council of 26 October 2005 on the prevention for the use of the financial system for the purpose of money laundering and terrorist financing (Text with EEA reference) - (Official Journal L 309, 25.11.2005)

VI Objavljivanje informacija – Transparentnost (Transparency obligations of traded companies)

1. Direktiva broj 2001/34/EC Evropskog parlamenta i Savjeta EU od 28 maja 2001. godine o prijemu hartija od vrijednosti u zvaničnu kotaciju i o informacijama koje će se objavljevati u vezi sa ovim hartijama dopunjena Direktivom broj 2004/109/EC Evropskog parlamenta i Savjeta EU od 15. decembra 2004. o harmonizaciji zahtjeva za transparentnost u vezi sa informacijama o emitentima čije su hartije primljene u trgovanje na organizovanom tržištu, Direktivom broj 2003/71/EC Evropskog parlamenta i Savjeta EU od 4. novembra 2003. godine o prospektu koji se obavljuje prilikom javne ponude hartija od vrijednosti ili hartija koje su primljene u trgovanje i Direktivom broj 2005/1/EC Evropskog parlamenta i Savjeta EU od 9. marta 2005.

Directive 2001/34/EC of the European Parliament and of the Council of 28 May 2001 on the admission of securities to official stock exchange listing and on information to be published on those securities (Official Journal L 184, 06/07/2001 P. 0001 – 0066); amending by Directive 2004/109/EC of the European Parliament and of the Council of 15 December 2004 on the

harmonisation of transparency requirements in relation to information about issuers whose securities are admitted to trading on a regulated market; Directive 2003/71/EC of the European Parliament and of the Council of 4 November 2003 on the prospectus to be published when securities are offered to the public or admitted to trading; Directive 2005/1/EC of the European Parliament and of the Council of 9 March 2005

- 2. Direktiva broj 2004/109/EC Evropskog parlamenta i Savjeta EU od 15. decembra 2004. o harmonizaciji zahtjeva za transparentnost u vezi sa informacijama o emitentima čije su hartije od vrijednosti primljene u trgovanje na organizovanom tržištu i dopunjuje Direktivu broj 2001/34/EC**

Directive 2004/109/EC of the European Parliament and of the Council of 15 December 2004 on the harmonisation of transparency requirements in relation to information about issuers whose securities are admitted to trading on a regulated market and amending Directive 2001/34/EC (Official Journal L 390, 31/12/2004 P. 0038 – 0057)

- 3. Commission Directive 2007/14/EC**

- 4. Direktiva broj 88/627/EEC Savjeta EU od 12. decembra 1988. o informacijama koje se objavljuju kada je stečen ili otuđen većinski dio preduzeća uvrštenog na tržištu**

Council Directive 88/627/EEC of 12 December 1988 on the information to be published when a major holding in a listed company is acquired or disposed of (Official Journal L 348, 17/12/1988 P. 0062 – 0065)

- 5. Direktiva broj 82/121/EEC Savjeta EU od 15. februara 1982. o informacijama koje redovno moraju objavljivati kompanije čije akcije su primljene u zvaničnu berzansku kotaciju**

Council Directive 82/121/EEC of 15 February 1982 on information to be published on a regular basis by companies the shares of which have been admitted to official stock-exchange listing (Official Journal L 048 , 20/02/1982 P. 0026 – 0029)

- 6. Direktive 2001/65/EC Evropskog parlamenta i Savjeta EU od 27. septembra 2001 kojom se mijenjaju Direktive 78/660/EEC, 83/349/EEC i 86/635/EEC u vezi sa kriterijumima procjene godišnjih i konsolidovanih bilansa izvjesnih vrsta kompanija kao i banaka i drugih finansijskih institucija**

Directive 01/65/EC of the European Parliament and of the Council of 27 September 2001 amending Directives 78/660/EEC, 83/349/EEC and 86/635/EEC as regards the valuation rules for the annual and consolidated accounts of certain types of companies as well as of banks and other financial institutions "Official Journal" br. L283/28 od 2001. godine

VII Adekvatnost kapitala (Capital adequacy directive)

- 1. Directive 2006/48/EC**
- 2. Directive 2006/49/EC**

3. Pravilnika (EC) broj 1606/2002 Evropskog parlamenta i Savjeta EU od 19. jula 2002. o primjeni Međunarodnih računovodstvenih standarda

Regulation (EC) No 1606/2002 of the European Parliament and of the Council of 19 July 2002 on the application of international accounting standards

4. Direktiva broj 98/31 Evropskog parlamenta i Savjeta EU od 22. juna 1998 kojom se dopunjuje Direktiva broj 93/6/EEC Savjeta EU o adekvatnosti kapitala investicionih firmi i kreditnih institucija

Directive 98/31/EC of the European Parliament and of the Council of 22 June 1998 amending Council Directive 93/6/EEC on the capital adequacy of investment firms and credit institutions

5. Direktiva 98/33/EC Evropskog parlamenta i Savjeta EU od 22. juna 1998 kojom se dopunjuje član 12 Direktive Savjeta EU broj 77/780/EEC u vezi sa organizacijom i načinom poslovanja kreditnih institucija, članovi 2, 5, 6, 7, 8 aneksa II i III Direktive Savjeta EU broj 89/647/EEC o koeficijentu solventnosti kreditnih institucija i član 2 aneksa II Direktive Savjeta EU broj 93/6/EEC o adekvatnosti kapitala investicionih firmi i kreditnih institucija

Directive 98/33/EC of the European Parliament and of the Council of 22 June 1998 amending Article 12 of Council Directive 77/780/EEC on the taking up and pursuit of the business of credit institutions, Articles 2, 5, 6, 7, 8 of and Annexes II and III to Council Directive 89/647/EEC on a solvency ratio for credit institutions and Article 2 of and Annex II to Council Directive 93/6/EEC on the capital adequacy of investment firms and credit institutions

6. Direktive Savjeta EU broj 93/6/EEC od 15. marta 1993. o adekvatnosti kapitala investicionih firmi i kreditnih institucija

Directive 93/6/EEC of the Council of 15 March 1993 on the capital adequacy of investments firms and credit institutions

7. Direktiva 2005/1/EC Evropskog parlamenta i Savjeta EU od 9. marta 2005 kojom se dopunjuju Direktive Savjeta EU broj 73/239/EEC, 85/611/EEC, 91/675/EEC, 92/49/EEC i 93/6/EEC i Direktive broj 94/19/EC, 98/78/EC, 2000/12/EC, 2001/34/EC, 2002/83/EC i 2002/87/EC s ciljem uspostavljanja nove organizacione strukture komiteta za finansijske usluge

Directive 2005/1/EC of the European Parliament and of the Council of 9 March 2005 amending Council Directives 73/239/EEC, 85/611/EEC, 91/675/EEC, 92/49/EEC and 93/6/EEC and Directives 94/19/EC, 98/78/EC, 2000/12/EC, 2001/34/EC, 2002/83/EC and 2002/87/EC in order to establish a new organisational structure for financial services committees (Text with EEA relevance) – (Official Journal L 079 , 24/03/2005 P. 0009 – 0017)

STRUKTURA TRŽIŠTA (FINANCIAL MARKETS INFRASTRUCTURE)

I Institucije tržišta (Market Institutions)

1. Odluka broj 2001/527/EC Komisije od 6. juna 2001. o osnivanju Komiteta Evropskih Regulatora Hartija od Vrijednosti

2001/527/EC: Commission Decision of 6 June 2001 establishing the Committee of European Securities Regulators (Text with EEA relevance) (notified under document number C(2001) 1501) (Official Journal L 191, 13/07/2001 P. 0043 – 0044)

2. Odluka broj 2004/7/EC Komisije of 5. novembra 2003 godine kojom se dopunjuje Odluka broj 2001/527/EC o osnivanju Komiteta Evropskih regulatora hartija od vrijednosti

2004/7/EC: Commission Decision of 5 November 2003 amending Decision 2001/527/EC establishing the Committee of European Securities Regulators (Text with EEA relevance) - (Official Journal L 003, 07/01/2004 P. 0032 – 0032)

3. Odluka broj 2001/528/EC Komisije od 6. juna 2001 o osnivanju Evropskog komiteta za hartije od vrijednosti

2001/528/EC: Commission Decision of 6 June 2001 establishing the European Securities Committee (Text with EEA relevance) (notified under document number C(2001) 1493) - (Official Journal L 191, 13/07/2001 P. 0045 – 0046)

4. Odluka broj 2004/8/EC Komisije od 5. novembra 2003 kojom se dopunjuje Odluka broj 2001/528/EC

2004/8/EC: Commission Decision of 5 November 2003 amending Decision 2001/528/EC establishing the European Securities Committee (Text with EEA relevance) - (Official Journal L 003, 07/01/2004 P. 0033 – 0033)

II Financijski kolaterali (Financial collateral)

1. Directive 2002/47/EC

III Obračun i poravnanje (Settlement finality)

1. Direktiva broj 98/26/EEC Evropskog parlamenta i Savjeta EU od 19. maja 1998. o saldiranju novčanih i transakcija sa hartijama od vrijednosti

Directive 98/26/EC of the European Parliament and of the Council of 19. May 1998 on settlement finality in payment and securities settlement systems

DRUGI RELEVANTNI PROPISI (OTHER REGULATIONS)

- 1. Direktiva 2002/87/EC Evropskog parlamenta i Savjeta EU od 16. decembra 2002. o dopunskom nadzoru kreditnih institucija, osiguravajućih društava i investicionih firmi u finansijskom konglomeratu i kojom se dopunjuju Direktive Savjeta EU broj 73/239/EEC, 79/267/EEC, 92/49/EEC, 92/96/EEC, 93/6/EEC i 93/22/EEC i Direktive broj 98/78/EC i 2000/12/EC Evropskog parlamenta i Savjeta EU**

Directive 2002/87/EC of the European Parliament and of the Council of 16 December 2002 on the supplementary supervision of credit institutions, insurance undertakings and investment firms in a financial conglomerate and amending Council Directives 73/239/EEC, 79/267/EEC, 92/49/EEC, 92/96/EEC, 93/6/EEC and 93/22/EEC, and Directives 98/78/EC and 2000/12/EC of the European Parliament and of the Council (Official Journal L 035, 11/02/2003 P. 0001 – 0027)

- 2. Direktiva Savjeta EU broj 80/390/EEC od 17. marta 1980. o usklađivanju uslova za izradu, kontrolu i distribuciju podataka koji se objavljuju prilikom prijema hartija od vrijednosti u berzansku kotaciju**

Council Directive 80/390/EEC of 17 March 1980 coordinating the requirements for the drawing up, scrutiny and distribution of the listing particulars to be published for the admission of securities to official stock exchange listing (Official Journal L 100 , 17/04/1980 P. 0001 – 0026)

- 3. Direktiva 94/18/EEC Evropskog parlamenta i Savjeta EU od 30. maja 1994 kojom se dopunjuje Direktiva broj 80/390/EEC o usklađivanju uslova za izradu, kontrolu i distribuciju podataka koji se objavljuju prilikom prijema hartija od vrijednosti u berzansku kotaciju, u pogledu obaveze objavljivanja podataka o prijemu**

Directive 94/18/EC of the European Parliament and of the Council of 30 May 1994 amending Directive 80/390/EEC coordinating the requirements for the drawing up, scrutiny and distribution of the listing particulars to be published for the admission of securities to official stock-exchange listing, with regard to the obligation to publish listing particulars (Official Journal L 135, 31/05/1994 P.0001–0004);

- 4. Direktiva Savjeta EU broj 93/22/EEC od 10. maja 1993. o uslugama investiranja u hartije od vrijednosti**

Council Directive 93/22/EEC of 10 May 1993 on investment services in the securities field – (Official Journal L 141, 11/06/1993 P. 0027)

- 5. Direktiva Savjeta EU broj 90/211/EEC od 23. aprila 1990. kojom se dopunjuje Direktiva broj 80/390/EEC u vezi sa uzajamnim priznavanjem prospekata za javnu ponudu kao podatka o prijemu hartija od vrijednosti u berzansku kotaciju**

Council Directive 90/211/EEC of 23 April 1990 amending Directive 80/390/EEC in respect of the mutual recognition of public-offer prospectuses as

stock- exchange listing particulars (Official Journal L 112, 03/05/1990 P. 0024 – 0025)

6. **Direktiva Savjeta EU broj 87/345/EEC od 22. juna 1987. kojom se dopunjuje Direktiva broj 80/390/EEC o usklađivanju uslova za izradu, kontrolu i distribuciju podataka koji se objavljuju prilikom prijema hartija od vrijednosti u berzansku kotaciju**

Council Directive 87/345/EEC of 22 June 1987 amending Directive 80/390/EEC coordinating the requirements for the drawing-up, scrutiny and distribution of the listing particulars to be published for the admission of securities to official stock exchange listing (Official Journal L 185, 04/07/1987 P. 0081 – 0083)

7. **Direktiva Savjeta EU broj 79/279/EEC od 5. marta 1979. o usklađivanju uslova za prijem hartija od vrijednosti u berzansku kotaciju.**

Council Directive 79/279/EEC of 5 March 1979 coordinating the conditions for the admission of securities to official stock exchange listing (Official Journal L 066, 16/03/1979 P. 0021 – 0032)

8. **Direktiva Savjeta EU broj 82/148/EEC od 5. marta 1982. kojom se dopunjuje Direktiva broj 79/279/EEC o usklađivanju uslova za prijem hartija od vrijednosti u berzansku kotaciju i Direktiva broj 80/390/EEC o usklađivanju uslova za izradu, kontrolu i distribuciju podataka koji se objavljuju prilikom prijema hartija od vrijednosti u berzansku kotaciju**

Council Directive 82/148/EEC of 3 March 1982 amending Directive 79/279/EEC coordinating the conditions for the admission of securities to official stock exchange listing and Directive 80/390/EEC coordinating the requirements for the drawing up, scrutiny and distribution of the listing particulars to be published for the admission of securities to official stock exchange listing (Official Journal L 062 , 05/03/1982 P. 0022 – 0023)

9. **Preporuka Komisije broj 77/534/EEC od 25. jula 1977. o evropskim pravilima poslovanja u izvršavanju transakcija sa prenosivim hartijama od vrijednosti**

77/534/EEC: Commission Recommendation of 25 July 1977 concerning a European code of conduct relating to transactions in transferable securities (Official Journal L 212, 20/08/1977 P. 0037 – 0040)

10. **Direktiva broj 2003/41/EC Evropskog parlamenta i Savjeta EU od 3. juna 2003. o akvitnostima i nadzoru institucija za penziono osiguranje**

Directive 2003/41/EC of the European Parliament and of the Council of 3 June 2003 on the activities and supervision of institutions for occupational retirement provision